METHOD



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Sybil Smith et al.

Appl. No.:

10/582,041

2105

Filed:

December 6, 2004

Docket No.:

Conf. No. Title:

CONTROLLED ACCESS SYSTEM AND

ESPECIALLY FOR PHARMACEUTICALS

Art Unit:

Examiner: Action:

TRANSMITTAL OF INFORMATION DISCLOSURE

Date:

September 27, 2006

TO:

Mail Stop DD

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The documents identified on the attached form PTO/SB/08 have come to the attention of the undersigned in connection with the subject application. Unless otherwise indicated, copies of these documents are attached, and it is respectfully requested that they be made of record in this proceeding. The identification of these documents is for the purpose of meeting Applicant's duty of disclosure under 37 C.F.R. §1.56 and is not intended to be an admission that these documents constitute prior art as to the invention disclosed and claimed in the subject application.

Pursuant to the provisions of 37 CFR §1.97, this Information Disclosure Statement (IDS) should be considered by the Office because:

In	accordance	with	37	CFR	§1.97(b),	this	IDS	is	being	filed	by	the
Applicant:												

- ☑ Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- ☑ Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
- Before the mailing of a first Office action on the merits; or
- ☐ Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

			lance with 37 CFR §1.97(c), this IDS is being filed by the after the period specified in §1.97(b) before the mailing date of:
		A r An	inal action under § 1.113; notice of allowance under § 1.311; or action that otherwise closes prosecution in the application; and accompanied by:
			The statement specified in § 1.97(e); or The fee set forth in § 1.17(p).
App	olic	ant	lance with 37 CFR §1.97(d), this IDS is being filed by the after the period specified in §1.97(c) but before payment of the and is accompanied by:
			The statement specified in § 1.97(e); and The fee set forth in § 1.17(p).
			hereby makes the following statement(s) in accordance with §
			Each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
			No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.
	In Apprissi	Application Applic	Applicant Af An An Is a

Please initial the attached form PTO/SB/08 where indicated and return a copy of the initialed document to the undersigned as an indication that the listed reference has been considered and made of record. The Commissioner is hereby authorized to charge the payment of any required fee(s) or credit any overpayment to Deposit Account No. 13-1940.

The Examiner is courteously solicited to enter an expeditious allowance of this application. If any questions remain to be addressed, it is respectfully requested that the undersigned attorney for the Applicants be contacted at the number listed below.

Respectfully submitted,

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the foregoing TRANSMITTAL OF INFORMATION DISCLOSURE (3 pages) AND FORM PTO/SB/08 (1 page) is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10 day of October, 2006.

Marcie F. King

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Approved for use through 03/31/2007, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10/582,041

Sybil Smith

December 6, 2004

Filing Date

Art Unit

Application Number

First Named Inventor

Examiner Name

he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known stitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

STORES.

(Use as many sheets as necessary)

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Sheet	1	of	1	Attorne	y Docket Number	2105

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4,978,023	12-18-1990	Behlmann et al.	
		^{US-} 6,276,547	08-21-2001	Petryna	
		^{US-} 5,626,250	05-06-1997	Dorazio	
		^{US-} 6,003,706	12-21-1999	Rosen	
		^{US-} 2002/0130126	09-19-2002	Rosenberg	
		US-			,
		US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	-	Or Relevant Figures Appear	⊤rº			
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Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.